



Thaba Investments Limited
Sebugwawo Road
M103 and M106 Entebbe
Uganda

ACCOUNT APPLICATION FORM

Account Type	<input type="checkbox"/>	Corporate	<input type="checkbox"/>	Sole Proprietorship	<input type="checkbox"/>	Individual
Business Activity/ Volume						

COMPANY/ INDIVIDUAL INFORMATION	
Name	
Business Registration No.	
Issuing Authority	
Date & Place of Company Incorporation	
Tax Identification No.	
Phone No.	
Fax no.	
Email Address	
Website	
Registered Business Address	
Type of Business	
Nature of Business/ Occupation	
Source of Funds	
No. Of Employees in the Company	

Initial here



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BENEFICIAL OWNER

- *Hold 10 % or more of the share capital*

Name	Address	Nationality/ Passport Details (No/Expiry date/ Place of Issue)	Percentage Holding

SHAREFOLDER(S)

- *If Company- provide details of beneficial owners of a shareholder company using the beneficial owner form.*

Name	Address	Nationality/ Passport Details (No/Expiry date/ Place of Issue)	Percentage Holding

_____ *Initial here*



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COMPANY STRUCTURE

Name	Position (Board of Director or Management)	Nationality/	Passport Details (No/Expiry date/ Place of Issue)

AUTRORIZED SIGNATORY

(If other than the owner, please provide supporting documents)

Authorized Signatory -1 Name	Signature
Authorized Signatory -2 Name	Signature
Authorized Signatory -3 Name	Signature
Authorized Signatory -4 Name	Signature

Initial here



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COMPLIANCE QUESTIONNAIRE

Please tick (✓)

RESPONSIBLE PRECIOUS METAL SUPPLY CHAIN POLICY		YES	NO
1	Did your company established a responsible supply chain of gold from conflict-affected and high risk areas policy which is consistent with the standards set forth in the model supply chain policy in Annex II of the OECD Due Diligence Guidance for Responsible Supply Chain of Minerals from Conflict- Affected and High- Risk Areas? If yes, please provide a copy.		
2	Does your company comply or plan to comply with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict- Affected and High- Risk Areas?		
ANTI-MONEY LAUNDERING(AML) AND COMBATING FINANCIAL TERRORISM (CFT)			
1	Did your company establish a conformity program that contains AML/CFT policies and procedures according to internal and international laws, rules and regulations? If yes, please provide a copy.		
ANTI-BRIVERY POLICY			
1	Does your company have an anti-bribery policy in place? If yes, please provide a copy.		

DECLARATION

I/We hereby acknowledged that we have received a copy of Thaba Investments Limited Supply Chain Policy and we undertake to review it thoroughly and to comply with its provisions.

I/We hereby confirm that we have read and understood OECD Due Diligence Guidance for Responsible Supply Chain of Minerals from Conflict- Affected and High- Risk Areas, LBMA Responsible Gold Guidance and Thaba Investments Limited Compliance Manual and we undertake to comply with its provisions.

I/We hereby undertake that our sources of precious metals are free from conflict financing, criminal funding, worst forms of child labour and human rights abuses.

I/We hereby confirm that the above information is true and correct and that we are duly authorized to enter into this account opening agreement and the supporting documents provided hereto are genuine and authentic.

I/We hereby authorize Thaba Investments Limited to obtain pertinent information from clients, banks, and any other sources necessary for the effective evaluation for this application.

Company Name : _____
(with company stamp)

Name and Signature of Authorized Signatory : _____